

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10528369	
	Filing Date		2005-10-07	
	First Named Inventor	Saitoh		
	Art Unit	2879		
	Examiner Name	Natalie K. Walford		
	Attorney Docket Number	TYOS124843		

### U.S. PATENTS

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	1	3245948	JP		1995-01-24	Keizo		<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dennis K. Shelton/	Date (YYYY-MM-DD)	2007-08-09
Name/Print	Dennis K. Shelton	Registration Number	26997

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: H. Saitoh et al. Attorney Docket No.: TYOS124843  
Application No.: 10/528,369 Art Unit: 2879 / Confirmation No.: 4686  
Filed: October 7, 2005 Examiner: Natalie K. Walford  
Title: LIGHT-EMITTING DEVICE

CERTIFICATION STATEMENT UNDER 37 C.F.R. § 1.704(d)

Seattle, Washington 98101

August 9, 2007

TO THE COMMISSIONER FOR PATENTS:

1. I, the person signing below, state that each item of information contained in the accompanying information disclosure statement was first cited in a (any) communication from a foreign patent office in a counterpart foreign application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

2. I, the person signing below, am the attorney who prepared or prosecuted the application, signing on the basis of the information in my file.

3. A concise explanation of the relevance of document No. JP 3245948 (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided in an English abstract appended to said document.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



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Registration No. 26,997  
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